



**RESEARCH ETHICS BOARD**  
**Change in Study Personnel Form**  
*Typed Original Signed Hardcopies ONLY*

**DO NOT STAMP OR AMEND THE REB SUBMISSION FORM**

**DO NOT SEND BY FAX OR EMAIL**

|                  |                 |
|------------------|-----------------|
| Submission Date: | HRH REB Number: |
|------------------|-----------------|

|   |   |
|---|---|
| PRINCIPAL INVESTIGATOR:                                 |   |
| STUDY TITLE: [REDACTED]                                 |   |
| SPONSOR NAME: [REDACTED]                                |   |
| PROTOCOL NUMBER: [REDACTED]                             | PROGRAM: [REDACTED]                     |
| DATE OF STUDY START-UP<br>(ACTIVATION DATE): [REDACTED] | DATE CLOSED TO<br>ENROLMENT: [REDACTED] |
| REB EXPIRY DATE: [REDACTED]                             |   |

Name of Study Co-ordinator or Contact: \_\_\_\_\_

Name of Institution: \_\_\_\_\_

Address: \_\_\_\_\_

Phone: \_\_\_\_\_ Fax: \_\_\_\_\_

Email Address: \_\_\_\_\_

**Study Personnel Change**

| Name | Role in Study | Action                       |                                 | Effective Date |
|------|---------------|------------------------------|---------------------------------|----------------|
|      |               | ADD <input type="checkbox"/> | REMOVE <input type="checkbox"/> |                |
|      |               | ADD <input type="checkbox"/> | REMOVE <input type="checkbox"/> |                |
|      |               | ADD <input type="checkbox"/> | REMOVE <input type="checkbox"/> |                |
|      |               | ADD <input type="checkbox"/> | REMOVE <input type="checkbox"/> |                |

|                            |                            |
|----------------------------|----------------------------|
| <b>REB Date of Receipt</b> | <b>Database Entry Date</b> |
|                            |                            |

**List all Documents affected by this change (i.e. Consent Form(s), Wallet Card(s) etc):**  
**Attach 5 copies of each affected document, with changes tracked or bold & shaded outlining changes (i.e. ~~bold-text~~ bolded text OR ~~old wording~~ new wording italicized bolded, grey shaded and underlined)**

| Document | Version # | Date |
|----------|-----------|------|
|          |           |      |
|          |           |      |
|          |           |      |
|          |           |      |
|          |           |      |
|          |           |      |
|          |           |      |

Does this change affect any other REB Studies?  YES  NO  N/A  
*If YES, please submit a separate form for each study*

Does the Clinical Trial Agreement require an amendment due to the Personnel change(s)?  YES  NO  N/A  
*If YES, contact The Office of Research Administration*

Will Participants be notified of this change?  YES  NO  N/A

Who will have access to personal health information and/or be reviewing health records for recruitment purposes?

|                        |                              |                             |                              |
|------------------------|------------------------------|-----------------------------|------------------------------|
| Principal Investigator | <input type="checkbox"/> YES | <input type="checkbox"/> NO | <input type="checkbox"/> N/A |
| Co-Investigator        | <input type="checkbox"/> YES | <input type="checkbox"/> NO | <input type="checkbox"/> N/A |
| Research Coordinator   | <input type="checkbox"/> YES | <input type="checkbox"/> NO | <input type="checkbox"/> N/A |

Who will be obtaining consent?

|                        |                              |                             |                              |
|------------------------|------------------------------|-----------------------------|------------------------------|
| Principal Investigator | <input type="checkbox"/> YES | <input type="checkbox"/> NO | <input type="checkbox"/> N/A |
| Co-Investigator        | <input type="checkbox"/> YES | <input type="checkbox"/> No | <input type="checkbox"/> N/A |
| Research Coordinator   | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> N/A |

Tri-Council Policy Tutorial  
 All Investigators and Research Staff are to complete the Tri-Council Policy Tutorial if they are to participate in research studies involving human participants.

TCPS Certificate(s) of completion for all new study personnel  ATTACHED

Copy of CV(s) for all new study personnel  ATTACHED

Copy of Licence(s) for all new study personnel  ATTACHED  N/A

Contact Info for New Study Coordinator

Name of New Study Coordinator: \_\_\_\_\_

Address: \_\_\_\_\_

Phone: \_\_\_\_\_ Fax: \_\_\_\_\_

Email Address: \_\_\_\_\_

## Conflict of Interest

Do any of the following Conflict of Interest (actual, perceived, apparent or potential) listed below apply to any of the new personnel or any of their immediate family?

Has the PI, Co-investigator or Study staff function as an advisor, employee, officer, director or consultant for the sponsor?  YES  NO

Does the PI, Co-investigator or Study staff have a financial interest (direct or indirect) in the drug, device or technology utilized (including patents or stocks) in this research study?  YES  NO

Has the PI, Co-investigator or Study Staff received an honorarium, or any other benefits from the sponsor (not including fees for service)?  YES  NO

If YES, was answered on any of the above, was the conflict reported when the study was first review and approved by REB?  YES  NO

If conflict was NOT reported to REB on first review, please attach a description of the conflict and explain how it is being managed to ensure that participants rights and welfare are not affected  ATTACHED

Principal Investigator's Signature for Non-Investigator Personnel Changes:  N/A

I hereby give permission for the personnel listed to be associated with the study and all information provided is correct. Study personnel involved in this research study at HRH are qualified and/or, will receive appropriate research training in order to fulfill their role in this research project.

|  |   |               |
|--|---|---------------|
| _____<br>Print<br>Name of Principal Investigator | _____<br>Signature of<br>Principal Investigator | _____<br>Date |
|--|---|---------------|

For Investigator Changes:       N/A

Clinical Trial Agreement

Is an Amendment required?                       Yes       No

Has an amendment to the Clinical Trial Agreement been forwarded to the Research Ethics Office for legal review?                       Yes       No

If *NO*, please forward immediately as the Amendment to the Clinical Trial Agreement must be fully executed prior to the New Principal Investigator assuming responsibility for the conduct of this study.

Contact Info for New Principal Investigator

Name of New Principal Investigator: \_\_\_\_\_

Address: \_\_\_\_\_

Phone: \_\_\_\_\_ Fax: \_\_\_\_\_

Email Address: \_\_\_\_\_

Investigator Signatures:

Outgoing Principal Investigator:

I no longer assume responsibility as Principal Investigator for this study and hereby transfer all responsibility to the Incoming Principal Investigator named below.

|                                |                        |       |
|--------------------------------|------------------------|-------|
| _____                          | _____                  | _____ |
| Print                          | Signature of           | Date  |
| Name of Principal Investigator | Principal Investigator |       |

Incoming Principal Investigator:

I will assume full responsibility as Principal Investigator for the conduct of this study as approved by the Humber River Hospital Research Ethics Board. I will conduct this study in compliance with the Tri-Council Policy Statement and all other relevant regulations and guidelines. All study personnel involved in this research study at HRH are qualified and/or, will receive appropriate research training in order to fulfill my role in this research project.

|                                |                        |       |
|--------------------------------|------------------------|-------|
| _____                          | _____                  | _____ |
| Print                          | Signature of           | Date  |
| Name of Principal Investigator | Principal Investigator |       |

For Co-Investigator Changes:       N/A

Contact Info for New Co-Investigator

Name of New Co-Investigator: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Phone: \_\_\_\_\_ Fax: \_\_\_\_\_

Email Address: \_\_\_\_\_

Co-Investigator Signatures:

Outgoing Co-Investigator:

I no longer assume responsibility as Co-Investigator for the conduct of this study and hereby transfer all responsibility to the Incoming Co-Investigator named below.

|                         |                 |       |
|-------------------------|-----------------|-------|
| _____                   | _____           | _____ |
| Print                   | Signature of    | Date  |
| Name of Co-Investigator | Co-Investigator |       |

Incoming Co-Investigator:

I agree to participate in this study as approved by the HRH Research Ethics Board. I will conduct this study in compliance with the Tri-Council Policy Statement and all other relevant regulations and guidelines.

|                         |                 |       |
|-------------------------|-----------------|-------|
| _____                   | _____           | _____ |
| Print                   | Signature of    | Date  |
| Name of Co-Investigator | Co-Investigator |       |

**DO NOT FAX OR EMAIL**

**SEND TYPED SIGNED ORIGINAL TO:**

**HUMBER RIVER HOSPITAL  
1235 WILSON AVENUE, ROOM 3B2002  
TORONTO, ONTARIO M3M 0B2**

**Phone: 416-242-1000 x81263**